



Request for Corrected Filing Receipt  
Docket No. 013.0117.01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Gallivan

Serial No. 09/944,475

Filed: August 31, 2001

For: System and Method for Generating a Visualized

Data Representation Preserving Independent

Variable Geometric Relationships

)  
) Group Art Unit: 2173  
) Examiner: *Unassigned*  
)  
)  
)

**REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is a copy of the official Filing Receipt for the above-identified patent application, which has been marked in red ink to identify the corrections needed. The corrections are as follows:

Under Fil Fee Rec'd, replace "1033" with --1159--.

Under Tot Claims, replace "57" with --81--.

Copies of the Utility Patent Application Transmittal Letter and Fee Payment Check, filed with the above-identified patent application on August 31, 2001, are enclosed to verify number of claims and amount paid for the filing fee.

☒ No fee is due with this communication. The requested corrections are due to USPTO error.

☐ Pursuant to 37 C.F.R. 1.19(h), the statutory fee of \$25.00 is enclosed.

Issuance of a corrected Filing Receipt is respectfully requested.

Respectfully submitted,

Dated: November 2, 2001

By: \_\_\_\_\_

Patrick J.S. Inouye, Reg. No. 40,297



22895

PATENT TRADEMARK OFFICE



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/944,475	08/31/2001	2173	1033	013.0117.01	16	57	6

1159.00

CONFIRMATION NO. 1542

## FILING RECEIPT



\*OC00000006971922\*

Law Offices of Patrick J.S. Inouye  
Suite 258  
810 Third Avenue  
Seattle, WA 98104

Date Mailed: 10/25/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Dan Gallivan, Bainbridge Island, WA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 10/04/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

System and method for generating a visualized data representation preserving independent variable geometric relationships

Preliminary Class

345

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> Under Small Entity Status (New Nonprovisional Applications Under 37 CFR § 1.53(b))	Attorney Docket No. <u>013.0117.01</u>
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OIPE  
 JAN 17 2002  
 PATENT & TRADEMARK OFFICE

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, Dan Gallivan, entitled System & Method For Generating A Visualized Data Representation Preserving Independent Variable Geometric Relationships, for a(n):



22895

PATENT TRADEMARK OFFICE

(X) Original Patent Application.

( ) Continuing Application (prior application not abandoned):

( ) Continuation ( ) Divisional ( ) Continuation-in-part (CIP)  
 of prior application No: \_\_\_\_\_ Filed on: \_\_\_\_\_

( ) A statement claiming priority under 35 USC § 120 has been added to the specification.

Enclosed are:

(X) Specification; 28 Total Pages.

(X) Drawing(s); 16 Total Sheets.

(X) Oath or Declaration:

(X) A Newly Executed Combined Declaration and Power of Attorney:

(X) Signed. ( ) Unsigned. ( ) Partially Signed.

( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).

( ) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.

( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).

(X) Formal Drawings Transmittal Letter.

(X) Return Receipt Postcard.

( ) Associate Power of Attorney.

(X) A Check in the amount of \$1159 for the Filing Fee.

(X) Assignment and Form 1619.

(X) Information Disclosure Statement and Form PTO-1449.

(X) A Check in the amount of \$40 for the Recordation Fee.

(X) A Duplicate Copy of this Form for Processing Fee Against Deposit Account.

(X) Request and Certification Under 35 USC 122(b)(2)(B)(i)

(X) Applicant claims small entity status.

(X) Other: 1 printed publication

**COPY**

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	81	61	\$9.00	\$ 549.00
Independent Claims	6	3	\$40.00	\$ 120.00
Multiple Dependent Claims (if applicable)				\$135.00
Assignment Recording Fee				\$0.00
Basic Filing Fee				\$355.00
Total Filing Fee				\$1,159.00
Applicant claims small entity status under 37 CFR 1.27.				

Charge \$ \_\_\_\_\_ to Deposit Account 501144 pursuant to 37 CFR § 1.25. At any time during the pendency of this application, please charge any fees required or credit any overpayment to this Deposit Account.

Respectfully submitted,

By: Patrick J.S. Inouye, Esq.  
 Patrick J.S. Inouye, Esq., Attorney of Record  
 Reg. No. 40297

Date: August 31, 2001

Correspondence Address:

Law Offices of Patrick J.S. Inouye  
 810 Third Avenue Suite 258  
 Seattle, WA 98104  
 Phone: (206) 381-3900  
 Fax: (206) 381-3999

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, D.C. 20231

By: Casey Leichter

Typed Name: Casey Leichter

Express Mail Label No.: EL793272127US

Date of Deposit: August 31, 2001



**PATRICK J.S. INOUE, P.S.**  
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816 SECOND AVENUE P.O. BOX 21808  
SEATTLE, WA 98111-3808

WELLS FARGO BANK  
1215 4TH AVENUE  
SEATTLE, WA 98101  
19-854/1250

10745

8/30/2001

**PAY TO THE ORDER OF** Commissioner of Patents & Trademarks

\$ \*\*1,159.00

One Thousand One Hundred Fifty-Nine and 00/100\*\*\*\*\*

DOLLARS

Commissioner of Patents & Trademarks  
Washington, DC 20231

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*[Signature]*

FOR

Application Filling Fee - 013.0117.01.

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**PATRICK J.S. INOUE, P.S.**

Commissioner of Patents & Trademarks

Date	Type	Reference
08/30/2001	Bill	

Original Amt.  
1,159.00

8/30/2001  
Balance Due 1,159.00  
Check Amount

10745

Payment  
1,159.00  
1,159.00

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Wells Fargo Checking Application Filling Fee - 013.0117.01.

1,159.00

**PATRICK J.S. INOUE, P.S.**

Commissioner of Patents & Trademarks

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JAN 17 2002

Please use a plus sign (+) inside this box →

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(To be used for all correspondence after initial filing)

Application Number	09/944,475
Filing Date	Aug 31, 2001
First Named Inventor	Gallivan, Dan
Group Art Unit	2173
Examiner Name	Unassigned
Attorney Docket Number	013.0117.01

Total Number of Pages in This Submission

6

**ENCLOSURES (check all that apply)**

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Request for Corrected Filing Receipt<br>Copy of Filing Receipt w/Errors Marked<br>Copy of Application Transmittal Form<br>Copy of Application Filing Fee Payment Check<br>Postcard |
|--|---|---|

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm or Individual name  
Law Offices of Patrick J.S. Inouye

Signature

Date

November 2, 2001

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 11/2/01

Type or printed name  
Casey Leichter

Signature

Date

November 2, 2001

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